Sixth Canadian Edition

Choices

Interviewing and Counselling Skills for Canadians

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PEARSON

Toronto

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Dedication

For my children and grandchildren, Kevin, Jodie, Eli, and Audrey. A01_SHEB5140_06_SE_FM.indd Page 4 2/23/16 1:13 PM s-w-149

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Preface

"We cannot solve our problems with the same thinking we used when we created them"

Albert Einstein

HERE'S GOOD NEWS! Emergent neuroscience research is confirming the validity of counselling by demonstrating in dramatic ways how counselling changes the brain. Counselling works! We have learned how positive brain growth is possible from the use of counselling basics such as listening, empathy, asking questions, and the establishment of relationship. It's exciting, and we are still at the beginning stages of what is certain to be an avalanche of profound developments in coming years. Our brains are "plastic" and in a constant state of change. Life experience, adversity, trauma, risk taking, and learning shape and reshape the brain in ways that help us cope with the challenges in our lives, or, alternatively, they may drive us to depression, anxiety and substance abuse. Neuroscience is providing answers to the question, "how can counselling help create conditions that promote positive, empowering brain growth or repair!"

Since the 1990s new technologies have spawned an explosive interest in the brain. Functional magnetic resonance imaging (fMRI) uses magnets to produce pictures of the brain showing active areas in real time, computerized tomography (CT scan), and positron emission tomography (PET) scan, uses radioactive material to identify parts of the brain that are active during certain tasks. These imaging technologies have resulted in enormous progress, and our neuroscience knowledge base has continued to grow exponentially.

Clearly, this is the era of the brain, and everyone is interested in learning more. Booksellers feature entire sections on the topic, and as I write this edition, one of the bestselling nonfiction books in Canada is a book on the brain. In the near future, I anticipate that college and university counselling programs will have required courses on the brain and neuroscience.

In this sixth edition of *Choices*, a new feature, BRAIN BYTES, presents brief and relevant connections to emergent neuroscience. Although this book cannot do justice to this vast topic, my hope is that BRAIN BYTES will motivate readers to make neuroscience an ongoing part of their professional development plan.

There is a scarcity of Canadian textbooks, which forces university and college teachers to rely on textbooks that are written by American authors. These books are designed to meet the needs of the American market, so the Canadian context is rarely referenced. Canadian professional counsellors are governed by their own codes of ethics, as well as federal and provincial legislation that differ from the laws in the United States. Health and social service structures and policies further define the uniqueness of the Canadian counselling environment. The Canadian cultural context is markedly different from that of our American neighbours. Until Choices was published, there was no Canadian counselling textbook option. The tremendous success of the first five editions underscored the need for a "made in Canada" book. Choices has now been widely adopted throughout the country as the required text for many introductory and advanced counselling courses.

I continue to benefit from the feedback provided by reviewers, readers, colleagues, clients, and students. In the sixth edition, all chapters have been rewritten to improve clarity and include current research, with updated references and weblinks. This edition continues my commitment to producing a readable and practical text. As much as possible, I have avoided the use of unnecessary jargon, and I have tried

to be transparent and explicit regarding my assumptions, a practice that parallels my approach to counselling.

The sixth edition of *Choices* maintains its basic format, which has made it the preferred textbook for a wide range of social work and social service educational programs in Canada. Cognitive behavioural counselling and the principles of motivational interviewing, two approaches that have demonstrated evidence-based best-practice empirical data, continue to be featured in the book. In this edition, the important theme of trauma-informed practice is strengthened. Throughout the sixth edition, references have been updated to include current thinking and research. New success tips have been added to many of the chapters. Significant content additions or enhancements include the following:

- Integration of content related to trauma-informed practice
- Expanded content and examples related to interviewing and counselling skills with children and youth, including youth with substance misuse issues
- Inclusion of relevant connections to recent neuroscience with a new feature, BRAIN BYTE
- Alignment of mental health content to the recently released DSM 5, including a critical analysis of this controversial document
- Significant update to content on Cognitive Behavioural Therapy
- Inclusion of material on recent advances in Motivational Interviewing
- Discussion of "The Truth and Reconciliation Commission" report and its implications for counsellors
- Strengthening of concepts related to understanding resistance
- New material on counselling angry/potentially violent clients and victims of domestic violence.
- New Appendix featuring basic information on the brain.

PURPOSE

Choices: Interviewing and Counselling Skills for Canadians, Sixth Edition, is a practical guide to assist Canadian professionals in developing knowledge, skills, and attitudes for effective interviewing and counselling. Although the book is intended primarily as a textbook for introductory counselling and interviewing courses, it is also designed as a reference text for more advanced practitioners. The book is targeted at students of counselling and professionals in disciplines such as social work, youth justice, child and youth care counselling, addictions, and psychology. Previous editions have also been used by students and practitioners in allied disciplines such as teaching, general nursing, and psychiatric nursing.

This book aims to contribute to the development of professional competence in five ways:

- 1. It introduces basic concepts and models to help learners understand the theory and philosophy of effective counselling intervention skills.
- 2. It provides realistic examples to illustrate concepts in action.
- **3.** It contains challenging exercises that promote skill development, conceptual understanding, and self-awareness.
- **4.** It emphasizes the importance of a range of skill choices for interviewing, rather than rules and recipes.
- 5. It presents links to relevant neuroscience research.

THEORETICAL MODEL

Choices promotes an eclectic approach that encourages counsellors to draw techniques and ideas from various theoretical models depending on the specific needs of the client and situation, not the comfort level of the counsellor. Insofar as possible, decisions about which skill to use are based on best-practice techniques that are supported by research. Among the models that have heavily influenced this book's content are the following:

- Person-centred counselling, pioneered by Carl Rogers
- Trauma-informed practice
- Cognitive behavioural therapy/counselling (CBT)
- Motivational interviewing
- Short-term and solution-focused counselling

PHILOSOPHY AND ASSUMPTIONS

The model of practice in this book is based on the following beliefs and values:

- Counselling should empower clients and strengthen their self-esteem, not that of their counsellors.
- Counselling expertise does not mean knowing what is best for people; consequently it has very little to do with giving "good advice."
- The counselling relationship creates the conditions for change to occur and the motivation for change to proceed. The counselling relationship nurtures the natural need that everyone has to grow and change.
- Effective counsellors are self-aware, open to feedback, and willing to learn.
- Counsellors may need to become deeply involved in a relationship with their clients, control their own biases, and constantly monitor their feelings and thoughts so that they are able to separate their experiences and feelings from those of their clients.
- Effective counselling honours the inherent strength, capacity, and resilience of clients.
- The counselling relationship and active listening, particularly empathy, are the cornerstones of counselling.

CENTRAL IDEAS

Choices

Every interview requires intelligent choice of skills and strategies. To make wise choices, counsellors need to develop a wide range of practice skills based on supported theory (science) and proven practice (evidence-based best practice). When counsellors have a repertoire of skills, they can make intelligent choices based on the unique needs of clients and situations rather than their own personal comfort levels or established routines.

Counsellors negotiate purposeful working relationships with clients to help them make decisions, deal with painful feelings, solve problems, or learn new skills. Counselling is a complex blend of skill, attitude, and art. Although core skills can be learned and practised, they are not recipes. The ideas in this book are presented as a starting point only. Counselling is also an art. On the basis of context, high-level professionals create, adapt and customize skills and strategies to fit individual counsellor—client interactions.

Skill and technique can be impressive, sometimes even appearing to be magical, but alone they are insufficient. Counsellors need to be genuine, maintain warm and

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caring regard for their clients, and recognize the inherent worth of people. Kadushin (1990) discusses the important mix of skill and feeling:

Many might say that if they had to choose between feeling and technique they would choose feeling as the more important prerequisite. Perhaps so, but if one has to make a choice between these qualifications, an injustice has already been done to the client. It should be possible to offer the client an interviewer who is both attitudinally correct and technically proficient. (p. xii)

The counselling model featured in this book rests on the assumption that counselling and interviewing are most effective under these conditions:

- Interviews and counselling relationships have a clear contract and purpose.
- Working relationships with a clear understanding of roles have been established.
- A climate of trust and safety in taking risks has been established.
- Counsellor responses are genuine, based on real feelings and attitudes.
- Clients have inherent strength and capacity for growth and change; effective counsellors recognize and mobilize strengths
- Counsellors respect their clients' independence and their right to self-determination.

This book divides the counselling relationship into four phases: preliminary, beginning, action, and ending. Each phase involves common as well as unique tasks and skills. For example, the beginning phase focuses on relationship development and problem exploration. Predictably, skills for developing relationships, like active listening, are most useful in the beginning phase, whereas skills such as confrontation are not recommended. But the subsequent action phase focuses on helping clients develop new perspectives, set goals, and implement change strategies; thus, skills such as reframing and confronting are used extensively in this phase. Therefore, each phase has its own tasks and relevant skill sets.

The four phases are developmental, with success at one phase dependent in part on success at previous phases. For example, clients are more willing to accept confrontation in the action phase if a solid relationship or trust has already been established in the beginning phase. In general, reference to the four-phase model allows counsellors to make some predictions about the climate of the interview and to determine which skills and tasks will be needed. However, practitioners must be cautious in applying the model too rigorously to every counselling interview because there are always circumstances for which the sequence of events will differ sharply from the model.

Values and Ethics

Ethics are principles of acceptable conduct. Professional associations such as the Canadian Association of Social Workers and the Canadian Counselling and Psychotherapy Association have formal statements that define ethics and standards of practice for their members. Similarly, values are ideas and principles that individuals and groups consider important or worthwhile. The behaviour of counsellors in their interviews is influenced largely by their values, including their philosophical view of people and the way they are motivated. Counsellors who see people as good and goal-directed will behave very differently from those who believe people are selfish and lazy, needing to be controlled. In counselling, certain core values are of particular importance:

- 1. Belief in the dignity and worth of people
- 2. Respect for the client's right to self-determination (i.e., for freedom of choice and the right to control one's own life)
- 3. Commitment to work for social justice

By respecting the dignity of people, counsellors try to be nonjudgmental in their work and treat all clients with respect and caring. The second core value, the self-determination principle, values the right of clients to be involved in decision making and to control decisions that affect their lives. The social justice principle requires counsellors to work to alleviate unfair social or system conditions.

Best-practice counselling draws on the expertise of clients to participate in decisions related to the goals and process of counselling. For this reason counsellors should demystify their work through open discussion of their methodologies, assumptions, and intentions. Moreover, commitment to client self-determination restrains counsellors from unhelpful behaviours, such as advice giving and abuse of power or control. By promoting client self-determination, counsellors use a strengths approach that empowers clients by assuming their capacity to cope and change.

Although the topic is beyond the scope of this text, counsellors should also consider their responsibility to extend beyond their role as counsellors to social and political action. As advocates for social justice, they should strive to reduce gender, cultural, and other forms of discrimination. They should also promote changes in social policy as well as modification in the functioning of formal organizations and institutions to meet the needs of clients.

Respect for Diversity and Culture

Diversity includes differences in such major variables as race, religion, age, sex, sexual orientation, physical and mental ability, economic capacity, language, culture, values, beliefs, preferences, and ways of thinking and behaving. The diversity of today's counselling caseloads requires that counsellors develop a range of interviewing and counselling skills. Competent counsellors are able to vary their style depending on the unique needs of different clients and situations. In simplest terms, the more choices counsellors have, the greater their ability to match their work to the needs and wants of their clients and the less their need to repeatedly use the same skill. Effective counsellors are wise enough to know when and when not to use particular skills. Similarly, the goal of counselling is to help clients achieve versatility in their capacity to solve problems and achieve goals.

Choices emphasizes cultural competence. Since everyone is unique, each with his or her own mix of values and beliefs, culture is a variable for work with all clients. When working with clients from visible minorities and those who are marginalized by poverty or discrimination, counsellors must examine the sociopolitical realities that frame the clients' circumstances. They also need to develop sufficient self-awareness to escape or manage any tendency to be culture-bound—the assumption that all clients share their values, perspectives, and ambitions or, worse still, that client differences represent deficiencies. By sustaining a multicultural perspective that recognizes and prizes diversity, counsellors can avoid the pitfalls of ethnocentrism (the belief that one's own views and culture are superior). Culturally competent counsellors view cultural differences as opportunities to widen their horizons and deepen their versatility. They remember to be humble enough to learn from their clients.

Self-Awareness

Knowledge of self, including consciousness of one's values and beliefs and the impact of one's behaviour on others, is a prerequisite for effective counselling. Counsellors who lack self-awareness may confuse their clients' feelings with their own. When counsellors are unaware of their own needs, including those that are unmet, they risk unconsciously using their counselling relationships to meet personal goals instead of

client goals. In addition, without self-awareness counsellors will be ignorant of those areas of practice in which they are competent and those in which it will be difficult for them to work with objectivity.

Competent professionals know themselves, and they ensure that their values and beliefs do not become a burden to their clients. They accept that exploring and reflecting on one's competence and the limits of one's role and expertise are fundamental to professional practice. For counsellors, this process of self-examination continues throughout their careers.

STRUCTURE OF THE BOOK

The book is divided into 10 chapters.

Chapter 1 explores professional identity and introduces readers to the basic concepts of ethics, values, and self-awareness.

Chapter 2 explores the basic nature of counselling skills and strategies. In this chapter four major skill clusters are introduced: relationship building, exploring/probing, empowering, and challenging. The four-phase model of counselling (preliminary, beginning, action, and ending) is proposed as a model for understanding the evolution of the counselling relationship. As well, the important components of a traumainformed approach are introduced and discussed.

Chapter 3 examines the helping relationship and considers the core conditions of effective counselling. Sessional and relationship contracting are featured in this chapter.

Chapters 4, 5, and 6 explore the active listening skills of attending, silence, paraphrasing, and summarizing (Chapter 4), questioning (Chapter 5), and empathy (Chapter 6). Specific ideas for interviewing and working with youth are discussed in these chapters.

Chapter 7 is concerned with action-phase skills that motivate clients to think differently and make changes in their lives. Two important theoretical models, cognitive behavioural counselling and motivational interviewing, are featured.

Chapter 8 presents information on working in difficult situations, such as when clients are resistant or potentially violent.

Chapter 9 looks at concepts for working with various populations, including those who are dealing with mental disorders, contemplating suicide, or who have addictions. The sixth edition has been reworked to align with DSM-5.

Chapter 10 explores important concepts and issues related to counselling clients from different cultures. This chapter includes a discussion of spirituality and counselling, reflecting a growing interest in and acceptance of spiritual issues in counselling. In this chapter, multicultural competencies for Canadian counsellors are introduced.

In addition to the **Appendix** entitled "Brain Basics," a **Glossary** of key terms and concepts and the **Reference List** complete the text.

Features

People learn in different ways, so this book includes a range of features designed to assist learners in understanding at the cognitive, emotional, and behavioural levels. Each chapter contains the following elements:

- Learning Objectives: key concepts that will be addressed in the chapter
- Summary: a short review at the end of each that summarizes important ideas
- Conversations: a unique feature presenting teacher-student dialogues of frequently asked questions

- Sample Interviews: annotated interview excerpts that illustrate and explain chapter concepts
- Success Tips: short, practical ideas for counselling success
- Illustrative Figures: diagrams that support or embellish chapter concepts
- BRAIN BYTES: short links to interesting and relevant neuroscience
- **Exercises:** end-of-chapter reflective questions to give readers practice developing self-awareness, practice skills, and conceptual knowledge.
- Weblinks: links to websites related to the chapter's material.

SUGGESTIONS FOR STUDENTS

If you are studying this book as part of a course on counselling skills, you will probably have the opportunity to develop skill competence in a number of different ways:

- Watching instructor demonstrations
- Conducting practice interviews using role-played or (preferably) real-life scenarios
- Completing the chapter exercises
- Receiving feedback and evaluation from instructors and student colleagues who observe your work
- Using audio and video recordings to understand and assess your verbal and nonverbal responses
- Working with clients in practicum field settings

In most counselling skills courses, learning groups are used to practise skills. Usually, these learning groups use classroom simulations and practice interviews in which you assume the roles of client, counsellor, and observer. Each of these roles offers unique challenges and opportunities for learning.

Practice Interviewing: When You Are the Client

The client's role offers a powerful opportunity for you to understand client feelings and expectations. You may find that your reactions are similar to those that clients experience:

- Ambivalence about sharing feelings or details about personal issues
- Feelings of vulnerability and fear of being judged, embarrassed, or ridiculed

As a client, it will be up to you to control how much you wish to disclose; however, by taking reasonable risks, you can enhance your learning opportunities and insights.

Practice Interviewing: When You Are the Counsellor

When you are asked to practise your newly learned skills as a counsellor, you may feel clumsy and insecure as you take risks to change established communication patterns or experiment with new skills and strategies. As a student with limited training, you may be reluctant to ask questions that seem to invade the privacy of your colleagues. Moreover, when dealing with sensitive issues you may fear that your lack of experience will damage your clients. You may also fear that your colleagues will judge you as inept. As well, when you are being observed by others, the intense focus on your work can be

Practice Interviewing: When You Are the Observer

Student observers are responsible for watching the interview and providing feedback to student colleagues who are practising their counselling skills. At first, you may be reluctant to offer feedback, perhaps worrying that your remarks will generate anger or hurt feelings. But keep in mind that the observer's role gives you an excellent opportunity to develop the skill of and practise giving feedback.

Helpful feedback is energizing and does not detract from another person's self-esteem. As people learn and practise interviewing and counselling skills, they may feel vulnerable and awkward. Hence, it is important to remain sensitive to their emotional and psychological needs while balancing their needs for information and correction.

Observer feedback may be of two types: supportive or corrective.

- Supportive feedback recognizes strengths. Consider how you respond differently when your strengths are acknowledged rather than when your weaknesses are targeted. Yet despite how obvious this idea seems, many students and professional counsellors are very problem-oriented and fail to acknowledge client or colleague strengths. Supportive feedback must be genuine (true) and delivered without rescuing or patronizing. If you lie to others to avoid hurting them, your credibility as a source of feedback will diminish.
- Corrective feedback challenges others to examine or change behaviour. But before giving corrective feedback, consider your relationship with the other person. If your relationship is based on trust and caring, corrective feedback has the potential to be effective. However, if your relationship has unresolved conflict, corrective feedback is more likely to be perceived as an attack. If people think your feedback is harsh, demanding, or controlling, there is a higher probability that they will resist. Here are some general feedback guidelines:
- Be specific. Avoid generalities such as, "Your interview was great." Anchor your assessment by identifying the specific behaviours and responses that you observed that contributed to the success of the interview.
- Don't use corrective feedback as a means to control, impress, or punish. Pay attention to your tone of voice and other nonverbal behaviour. Make sure that you avoid lecturing and pointing fingers.
- Timing and pacing are important variables. Supportive feedback is more useful when self-esteem is low. In addition, feedback is most effective when given as soon as possible, but ensure that you protect personal privacy.
- Avoid overwhelming student counsellors by providing too much feedback. Watch for nonverbal cues or ask them to let you know when they would like to stop the process.
- Ask people to self-evaluate before offering your opinions. You may be surprised to find that they already have insight into the problem areas. Then the number of areas where you have to provide direct feedback is reduced.
- Feedback has the most potential for success if it is invited or targeted to perceived areas of need. Contract with others to deliver feedback. Ask questions such as

- "Would you like me to offer my ideas on what happened?" or "Are there specific issues that you're concerned about?"
- Everyone is different. Some people prefer feedback to be direct and to the point. Others may prefer it "sandwiched" between positives. Some others need time to reflect before responding, or they may profit from visual and written illustrations. Discuss their preferences, then respond accordingly.

Some people have an immediate reaction to feedback that will differ from their reaction once they have had time to ponder what you have said. For example, a person who responds defensively or even with anger may, on reflection, come to accept your input. The opposite can also be true—people who react favourably may later develop other feelings, such as resentment or confusion. Checking back during future encounters is one strategy for keeping abreast of others' reactions.

Remember that giving helpful and caring feedback is one way of developing and strengthening relationships. If you are honest and supportive with others, you greatly increase the probability they will be honest and supportive with you when you ask for their helpful feedback.

Developing an Effective Learning Group

When you work with student colleagues in each of the three roles, discuss your fears as well as your expectations of one another. You will need to work to develop a contract or agreement on how you will work together. Practice interviews are powerful learning opportunities when they are based on real rather than role-played feelings and issues. Consequently, it will be important to establish a climate of safety, where confidentiality will be respected. Some important principles to remember:

- Colleagues who are in the client's role are disclosing personal issues and feelings, so it is essential to respect their dignity and right to privacy.
- Everyone has different capacities for intimacy. Do not expect that all members of a learning group will disclose at the same level. Accept individual differences.
- Learning the skills of counselling requires a willingness to give up familiar patterns of communication and attempt new approaches. Expand your limits by taking appropriate risks to try new skills, and be tolerant of colleagues who are engaged in similar risk-taking.
- Feedback from others is an important part of learning. Therefore, try to make it easy for others to give you feedback by consistently responding nondefensively. Help others give specific feedback by asking targeted questions.

SUCCESS TIP

Expect that the process of learning and experimenting with new skills will result in a period of awkwardness and self-consciousness. For a time it may seem as though your capacity to counsel others is regressing.

Keeping a Personal Journal

A personal "for your eyes only" journal can be a significant adjunct to your learning. The journal is a tool for introspection that provides a private means for documenting and exploring your thoughts and feelings related to the development of your counselling skills. There are no rules for journal writing other than the need to make entries on a regular basis and to try to avoid self-censorship.

Using This Book

If you are using this book as part of a course on counselling, your instructor will propose a suggested reading schedule that structures your reading over the semester, and he or she will assign or adapt the chapter exercises to fit your learning needs. Another way to use the book is on an "as you need it" basis, using the index or chapter headings to locate specific content. As well, you are encouraged to use other books, journals, and tools, such as Internet research, to supplement your learning. However, you should read this book (or any book) critically and seek to understand and explore the ideas and try them out.

Counselling Skills as a Way of Life

You may be surprised to discover that the skills of counselling are also the skills of effective everyday communication, and that the process of developing your counselling competence may begin to influence your personal relationships. As counselling skills become part of your style, you may find yourself becoming a little more inquisitive and more sensitive to the feelings of others. However, you may find that others in your life do not welcome the changes in your manner and style. When you change, others around you have to accommodate your changes. If you become more probing in your questions, they must be forthcoming with their answers. When you become more empathic, their feelings become more transparent. These changes move the relationship to a deeper level of intimacy, which may be frightening for some, particularly if the pace is too fast for their comfort level.

SUPPLEMENTS

The following instructor supplements are available for downloading from a password-protected section of Pearson Canada's online catalogue (www.pearsoned.ca/highered). Navigate to your book's catalogue page to view a list of those supplements that are available. See your local sales representative for details and access.

Instructor's Manual (ISBN 978-0-13-430342-0)

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Customized Workshops and staff training based on this book are available. Contact the author shebibb@douglascollege.ca for details.

